

Docket No.

199894US-8

APR 09 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Naoki TSUKIJI, et al.

SERIAL NO: 09/832,885

GAU: 2881

FILED: April 12, 2001

EXAMINER: Not Yet Assigned

FOR: SEMICONDUCTOR LASER DEVICE FOR USE IN A LASER MODULE

**INFORMATION DISCLOSURE/RELATED CASE STATEMENT
UNDER 37 CFR 1.97**

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

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Technology Center 2600

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. A copies of the listed references are attached, where required.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.



22850

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Respectfully submitted,

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ATTY DOCKET NO.

199894US-8

SERIAL NO.

09/832,885

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Naoki TSUKIJI

FILING DATE

April 12, 2001

GROUP

2881

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|---------------------|------------|------------------|-------|--------------|-------------------------------|
| | AA | Pub. US2001/0036004 | 11-01-2001 | Ackerman, et al. | | 1 | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
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| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES | NO |
|--|----|--------------------|------|---------|--------------------|----|
| | AO | | | | | |
| | AP | | | | | |
| | AQ | | | | | |
| | AR | | | | | |
| | AS | | | | | |
| | AT | | | | | |
| | AU | | | | | |
| | AV | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|---|
| | AW | Menna, R., DiMarco, L., Connolly, J., Garbuzov D., <i>High Power 1550 nm Distributed Feedback Lasers with 440 mW CW Output Power for Telecommunications Applications</i> , Submitted to CLEO/QELS Conference in Baltimore, MD on May 9, 2001, |
| | AX | |
| | AY | |
| | AZ | |

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.